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| General information about company | |
|--|------------------------|
| Scrip code | 513532 |
| NSE Symbol | NOTLISTED |
| MSEI Symbol | NOTLISTED |
| ISIN | INE770A01010 |
| Name of the company | PRADEEP METALS LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

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| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|------------|--|--------|--------------------------------|--------------------|-----------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

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|---------------------|---|--------------------------------|-----------------------|-----------------------------|---------------------------------|-----------------------------|-----------------------|--------------------------------------|---------------------------|--------------------|-----------------------------|----------------------------|---|--|--|---|------------|--|---|---------------------------------|---|--|----------|
| Tabl | ble I - Summary Statement holding of specified securities | | | | | | | | | | | | | | | | | | | | | | |
| Note | tote = Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet | | | | | | | | | | | | | | | | | | | | | | |
| Catego ry (1) | | | | | | | | Shareholding as a | Nard | er of Yorky held i | n each class of and any (D) | | | | Shareholding , as a % | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (X31) | | Number of equity shares held in | | | |
| | | | Nos. Of | No. of fully paid up equity | No. Of Partly paid- | No. Of shares underlying | Total sure alterna | % of total no. of shares (calculated | No of Voting (XIV) Rights | | ¢s. | | No. Of Shares | | No. of Shares | | | | | | assuming full conversion of convertible securities (| | |
| | | Category of shareholder (8) | shareholders (III) | | up equity shares held (V) | Depository | (VII) = (IV)+(V)+ (VI | | Class eg: X | Class egy | Total | Total as a % of (A+B+C) | Underlying Outstanding convertible securities (X) | Underlying Outstanding Warrants (Ki) | convertible securities and No. Of Warrants (N) (a) | as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. As a % of total (a) Shares held (b) | dematerialized form (XIV) | | | |
| (A) |) Peo | omoter & Promoter Group | 3 | 12468869 | | | 12468869 | 72.20 | 12,468,869.00 | | 12468869.00 | 12468869.00 72.20 | | 12468869.00 72.20 | | | | 72.20 | | | | | 12468369 |
| (11) |) Pu | blic | 4325 | 4801131 | | | 4801131 | 27.80 | 4801131.00 | | 4801131.00 | 27.80 | | | | 27.80 | | | | | 4273711 | | |
| (C |) No | an Promoter- Non Public | | | | | | | | | | | | | | | | | | | | | |
| (C1 | 1) | Shares underlying DRs | | | | | | | | | | | | | | | | | | | | | |
| (C2 | 2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | | | | | |
| | | Total | 4328 | 17270000 | | | 17270000 | 100 | 17270000.00 | | 17270000.00 | 100.00 | | | | 300 | | | | | 16742590 | | |

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|------------|---|----------------------------------|--|----------------------|--|---|--|---------------------------|--------------|---------------------|---|---|---|--|---|------------|--|------------|---------------------------------------|--|
| | | | | | Number of Voting Kylva held in each class of securities(0) | | | | | | | | Number of Locked in shares | | Number of Sha otherwise e | | | | | |
| | | | | | No. Of shares | | Shareholding as a | No of Voting (striplights | | | | No. Of Shares | | No. Of Shares Underlying | Shareholding , as a % assuming full | (XII) | | (pair | | |
| Sr. | Catagory & Name of the Shareholders (i) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | (V) | underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2) | Class eg: X | Class egy | Total | Total as a % of Total Voting rights | Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | Underlying Outstanding convertible securities and No. O Warrants (Xi) (a) | conversion of convertible securities (as a percentage of diluted share capital) (k0)= (v10)=(k1) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | Number of equity shares held in dematerialized form (XIV) |
| A | | rn of the Promo | ter and Promoter | Group | | | | | | | | | | | | | | | | |
| (1) (a) | Indian Individuals/Hindu undivided Family | | 249632 | 1 | 1 | 2496327 | 14.45 | 2496327.00 | | 2496327 | 14.45 | | 1 | 1 | 14.45 | | 1 1 | | 1 | 2496327 |
| (b) | Central Government/ State Government(s) | | | | | | | | | | | | | | | | | | | |
| (c) (d) | Financial Institutions/ Banks Any Other (specify) | | 997254 | | | 9972542 | 57.74 | 9972542.00 | | 9972542 | 57.74 | | | | 57.74 | | | | | 9972542 |
| (4) | Sub-Total (A)(1) | | 997254 | | | 9972542 | 57.74 | | | 9972542 12468869 | 57.74 | | | | 57.74 | | | | | 9972542 12468869 |
| | Foreign | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (NonResident Individuals/Foreign Individuals) | | | | | | | | | | | | | | | | | | | |
| (b) | Government | | | | | | | | | | | | | | | | | | | |
| (c) (d) | Institutions Foreign Portfolio Investor | | | | | | | | | | | | | | | | | | | |
| | Any Other (specify) | | | | | | | | | | | | | | | | | | | |
| | Sub-Total (A)(2) | | | | | | | | | | | | | | | | | | | |
| | Total Shareholding of Promoter and Promoter Group (A)+(A)(1)+(A)(2) | 3 | 1246886 | | | 12468869 | 72.20 | 12468869.00 | | 12468869 | 72.20 | | | | 72.20 | | | | | 12468869 |
| | Details of Shares which remain unclaimed for Promoter | & Promoter Gro | 1 0 | | | | | | | | | | | | | | | | | |
| в | Table III - Statement showing shareholding pattern of the Public shareholder | Note : Kindly d | now details of shar | eholders having m | ore than one perce | ntage of total on o | f shares, Please ref | er software manual | | | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds | : | 1100 | | | 1100 | 0.01 | 1100 | | 1100 | 0.01 | | | | 0.01 | | | | | 0 |
| (b) (c) | Venture Capital Funds Alternate Investment Funds | | | | | | | | | | | | | | | | | | | |
| (d) | Foreign Venture Capital Investors | | | | | | | | | | | | | | | | | | | |
| (e) | Foreign Portfolio Investors | : | 4100 | 0 | | 4100 | 0.02 | 4100 | | 4100 | 0.02 | | | | 0.02 | | | | | 0 |
| (1) (g) | Financial Institutions/ Banks Insurance Companies | | | | | | | | | | | | | | | | | | | |
| (h) | Provident Funds/ Pension Funds | | | | | | | | | | | | | | | | | | | |
| 6) | Any Other (specify) Sub-Total (B)(1) | | 5200 | | | 5200 | 0.03 | 5200.00 | | 5200 | 0.03 | | | | 0.03 | | | | | |
| (2) | Central Government/ State Government(s)/ | | 5200 | | | 5200 | 0.03 | 5200.00 | | 5200 | 0.03 | | | | 0.05 | | | | | 0 |
| (1) | President of India Sub-Total (B)(2) | | | | | | | | | | | | | | | | | | | |
| (3) | Sub-Total (B)(2) Non-institutions | | | | | | | | | | | | | | | | | | | |
| | Individuals - Lindividual shareholders holding nominal share | | | | | | | | | | | | | | | | | | | |
| (a(i)) | capital up to Rs. 2 lakhs. | 404 | 202350 | 5 | | 2023505 | 11.72 | 2023505 | | 2023505 | 11.72 | | | | 11.72 | | | | | 1790385 |
| | Individuals - II. Individual shareholders holding nominal share | | | | | | | | | | | | | | | | | | | |
| (a(ii)) | capital in excess of Rs. 2 lakhs. NBFCs registered with RBI | 1 | 98380 | 5 | | 983805 | 5.70 | 983805 | | 983805 | 5.70 | | | | 5.70 | | | | | 983805 |
| (c) | Employee Trusts | | | | | | | | | | | | | | | | | | | |
| | Overseas Depositories (holding DRs) (balancing figure) | | | | | | | | | | | | | | | | | | | |
| (d) (e) | Any Other (specify) | 26 | 178862 | | | 1788621 | 10.36 | 1788621 | | 1788621 | 10.36 | | | | 10.36 | | | | | 1499521 |
| | Sub-Total (B)(3) | 432 | | | | 4795931 | 27.77 | 4795931.00 | | 4795931 | 27.77 | | | | 27.77 | | | | | 4273711 |
| | Total Public Shareholding (8)+(8)(1)+(8)(2)+(8)(3) | 432 | 480113 | | | 4801131 | 27.80 | 4801131.00 | | 4801131 | 27.80 | | | | 27.80 | | | | | 4273711 |
| | Details of the shareholders acting as persons in Concert Details of Shares which remain unclaimed for Public | t for Public | | | | | | | | | | | | | | | | | | |
| с | Table IV - Statement showing shareholding pattern | of the Non Pro | moter- Non Public | shareholder | | | | | | | | | | | | | | | | |
| | Custodian/DR. Holder - Name of DR. Holders. [If | | | | | | | | | | | | | | 1 | | | | | |
| (1) | Available) Employee Benefit Trust (under SEBI (Share based | | | | | | | | | | | | | | | | | | | |
| (2) | Employee Benefit) Regulations, 2014) Total NonPromoter- Non Public Shareholding | | | | | L | | | | | | | | L | | | | | | |
| | Total NonPromoter- Non Public Shareholding (C)+ (C)(1)+(C)(2) | | | | | | | | | | | | | | | | | | | |
| | Total (A+8+C2) | 4321 | 1727000 | | | 17270000 | 100.00 | 17270000.00 | | 17270000 | 100.00 | | | | 100.00 | | | | | 16742580 |
| - | Total (A+B+C) | 4321 | 1727000 | | | 17270000 | 100.00 | 17270000.00 | _ | 17270000 | 100.00 | | | | 100.00 | | | | | 16742580 |
| | | | | | | e of notes on share | | Add No | _ | | | | | | | | | | | |
| _ | | Disclosu | | of promoter holidin | | | | Add No | | | _ | | _ | | | | | | | |
| - | | | | osure of notes in ca | | | | Add No Add No | _ | | | | | | | | | | | |
| | Disclosure of notes on shareholding pattern for company remarks explanatory | | | | | | | | ites | | | | | | | | | | | |

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|---------|----------------------------------|--|---|-------------|---------|------------------|-------------------|--|-------------------|---|-------------------------------------|---|------------------------------------|---------------------------------|
| Searial | | | Name of the | PAN (II) | | up equity shares | Total nos. shares | Shareholding as a % of total no. of shares (calculated as per | No of Vo | ing Rights held in ea securities ting (XIV) thts | Total as | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of equity shares held in | Reason for not providing PAN |
| No. | Category | Category / More than 1 percentage | Shareholders (I) | | | | | SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Total | a % of Total Voting rights | | dematerialized form (XIV) | |
| B3(e) | Any Other (specify) | | | | | | | | | | | | | |
| | Add Delete | - | | | | | | | | | | | | |
| 1 | IEPF | More than 1 percentage of shareholding | Investor Education and Protection Fund Authorit | ZZZZ299992 | 1 | 292317 | 292317 | 1.69 | 292317 | 292317 | 1.69 | 1.69 | 292317 | 12 |
| 2 | Trusts | Category | | | 1 | 1000 | 1000 | 0.01 | 1000 | 1000 | 0.01 | 0.01 | 1000 | |
| 3 | HUF | Category | | | 118 | 170532 | 170532 | 0.99 | 170532 | 170532 | 0.99 | 0.95 | 170532 | |
| 4 | Non-Resident Indian (NRI) | Category | | | 95 | 313397 | 313397 | 1.81 | 313397 | 313397 | 1.81 | 1.81 | 260497 | |
| 5 | Non-Resident Indian (NRI) | More than 1 percentage of shareholding | Indu Kirpalani | BCPPK0764H | 1 | 173331 | 173331 | 1.00 | 173331 | 173331 | 1.00 | 1.00 | 173331 | |
| 6 | Director or Director's Relatives | Category | | | 3 | 691000 | 691000 | 4.00 | 691000 | 691000 | 4.00 | 4.00 | 691000 | |
| 7 | Director or Director's Relatives | More than 1 percentage of shareholding | Kewal Krishan Nohria | AACPN8340G | 1 | 674000 | 674000 | 3.90 | 674000 | 674000 | 3.90 | 3.90 | 674000 | |
| 8 | Overseas Corporate Bodies | More than 1 percentage of shareholding | Om Global Sigapore Pte Ltd | ZZZZ299992 | 1 | 230000 | 230000 | 1.33 | 230000 | 230000 | 1.33 | 1.33 | 0 | 13 |
| 9 | Clearing Members | Category | | | 6 | 6550 | 6550 | 0.04 | 6550 | 6550 | 0.04 | 0.04 | 6550 | |
| 10 | Bodies Corporate | Category | | | 39 | 83825 | 83825 | 0.49 | 83825 | 83825 | 0.49 | 0.49 | 77625 | |
| | | | 262 | 1266304 | 1266304 | 7.34 | 1266304.00 | 1266304.00 | 7.34 | 7.34 | 1207204 | | | |